

# The free energy of dilute Bose gases at low temperatures

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## The Hamiltonian

- We consider N bosons in a box  $\Omega$

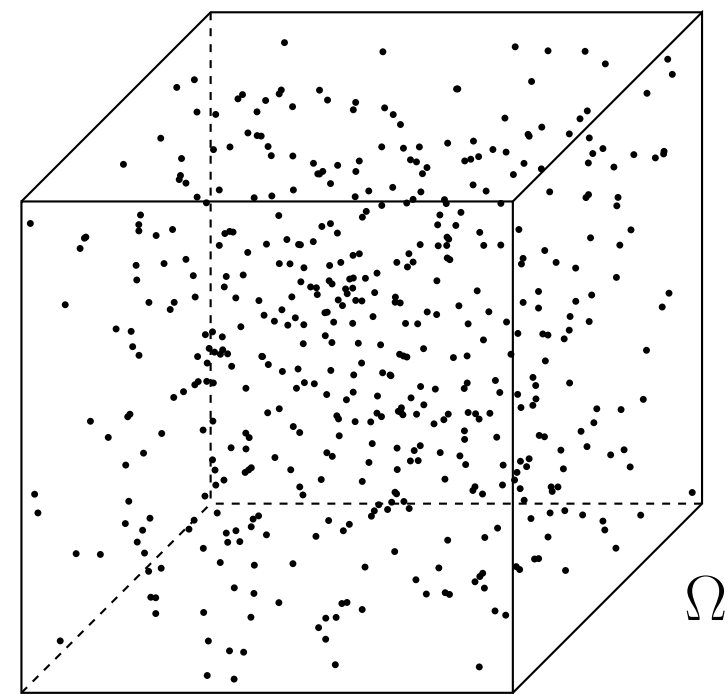
$$H_N = - \sum_{i=1}^N \Delta_i + \sum_{i<j}^N V(x_i - x_j)$$

with  $0 \leq V(|x|)$  compactly supported

- According to **[Lee-Huang-Yang '57]** at low temperatures and in the dilute regime  $\rho \mathfrak{a}^3 \rightarrow 0$  the gas behaves as a collection of independent quantum oscialltors

$$H_N \simeq \sum_{p \in 2\pi\mathbb{Z}^3} \sqrt{p^4 + 16\pi\rho\mathfrak{a}p^2} a_p^\dagger a_p$$

where  $\rho = N/|\Omega|$  and  $\mathfrak{a}$  is the scattering length of  $V$  (radius for hard core potentials).



- We justify the LHY conjecture by computing the **Free energy** in the thermodynamic limit,

$$f(\rho, T) = \lim_{\substack{n, |\Omega| \rightarrow \infty: \\ N/|\Omega| = \rho}} \frac{F_\Omega(N, T)}{|\Omega|},$$

where  $F_\Omega(N, T) = \inf\{\text{Tr}(H_N \Gamma) + T \text{Tr}(\Gamma \log \Gamma) \mid \Gamma \geq 0, \text{Tr}(\Gamma) = 1\}$  with  $T \geq 0$  the temperature

## Main Result

$$f(\rho, T) = 4\pi\mathfrak{a}\rho^2 \left(1 + \frac{128}{15\sqrt{\pi}} \sqrt{\rho\mathfrak{a}^3}\right) + \frac{T^{5/2}}{(2\pi)^3} \int_{\mathbb{R}^3} \log \left(1 - e^{-\sqrt{|p|^4 + \frac{16\pi\rho\mathfrak{a}}{T} p^2}}\right) dp + o((\rho\mathfrak{a})^{5/2})_{\rho\mathfrak{a}^3 \rightarrow 0}$$

- The first order is governed by two body collisions

$$4\pi\mathfrak{a} := \inf \left\{ \int_{\mathbb{R}^3} |\nabla f|^2 + \frac{1}{2} V|f|^2 : \lim_{|x| \rightarrow \infty} f(x) = 1 \right\}$$

- The LHY correction at  $T = 0$  is the total (renormalized) ground state energy of the quantum oscillators

$$4\pi \frac{128}{15\sqrt{\pi}} (\rho\mathfrak{a})^{5/2} \simeq \frac{1}{2} \sum_{p \in 2\pi\mathbb{Z}^3} \sqrt{p^4 + 16\pi\rho\mathfrak{a}p^2} - p^2 - 8\pi\rho\mathfrak{a} + \frac{(8\pi\rho\mathfrak{a})^2}{2p^2}$$

- The thermal contributions come from the elementary excitations above the condensate

$$-T \log \text{Tr} e^{-T^{-1} \sum_p \sqrt{p^4 + 16\pi\rho\mathfrak{a}p^2} a_p^\dagger a_p} \simeq \frac{T^{5/2}}{(2\pi)^3} \int_{\mathbb{R}^3} \log \left(1 - e^{-\sqrt{|p|^4 + \frac{16\pi\rho\mathfrak{a}}{T} p^2}}\right) dp$$

- Correlation length (aka Healing length or Gross—Pitaevskii length)  $\ell_{\text{GP}} = (\rho\mathfrak{a})^{-1/2}$
- Small temperatures  $0 \leq T \leq \rho\mathfrak{a}$  ( $\simeq \ell_{\text{GP}}^{-2}$ )

Previous works:

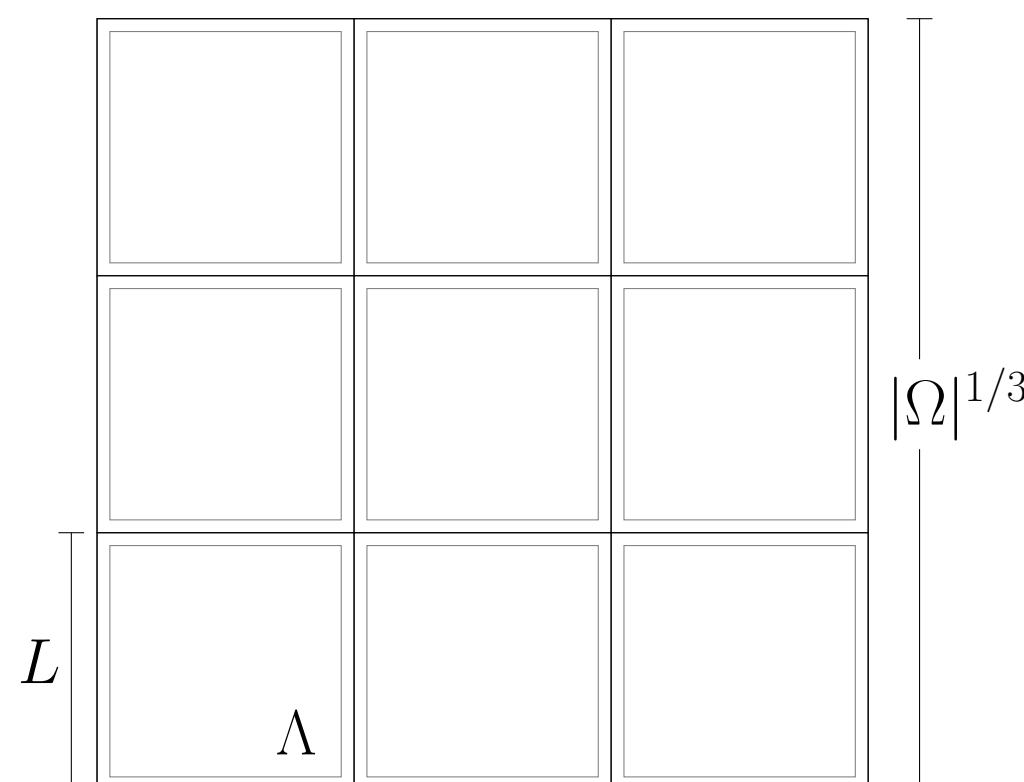
- $T = 0$  Upper bound: Dyson (1957), Yau-Yin (2009), Basti-Cenatiempo-Schlein (2021),
- $T = 0$  Lower bound: Lieb-Yngvason (1998), Fournais-Solovej (2020,2023)
- $T > 0$  First order: Seiringer (2008), Yau-Yin (2009)
- Methods from Boccato-Brenneck-Cenatiempo-Schlein (2019), Basti-Cenatiempo-Schlein (2021), Nam-Triay (2023)

## Division into Boxes

- Using Neumann — Dirichlet bracketing we prove the approximate additivity of the (free) energy

$$f(\rho, T) = \lim_{|\Omega| \rightarrow \infty} \frac{F_\Omega(N, T)}{|\Omega|} \approx \frac{F_\Lambda(\rho L^3, T)}{|\Lambda|}.$$

- To capture correlations  $L \gg \ell_{\text{GP}}$
- In large boxes the interaction potential dominates the kinetic energy (small gap) and the LHY computation are hard to carry out.



## Bogoliubov's Theory

We rewrite  $H_N$  on  $\Lambda$  in second quantization:

$$H_N = \sum_p p^2 a_p^* a_p + \frac{1}{2} \sum_{m,n,p,q} \langle u_m \otimes u_n, V u_p \otimes u_q \rangle a_m^* a_n^* a_p a_q,$$

Bogoliubov's approximation: most particles are in the BEC  $a_0^* \approx a_0 \approx \sqrt{N}$  + discard 3rd and 4th order in  $a^\dagger, a$ :

$$H_N \approx \frac{N\rho}{2} \hat{V}(0) + \sum_{p \neq 0} (p^2 + \rho \hat{V}(p)) a_p^* a_p + \frac{1}{2} \sum_{p \neq 0} \rho \hat{V}(p) (a_p^\dagger a_p^\dagger + a_p a_p)$$

This quadratic Hamiltonians is exactly diagonalizable but gives the LHY conjecture with the wrong constant:  $8\pi\mathfrak{a}$  replaced by  $\hat{V}(p)$ .

$\Rightarrow$  Higher order contributions are responsible for the renormalization

## Renormalization at large momenta

The renormalization  $\hat{V}(p) \mapsto 8\pi\mathfrak{a}$  is caused by the correlation structure at short length scale  $\ell \ll \ell_{\text{GP}}$

- it is encoded by the scattering solution

$$-\Delta f + \frac{1}{2} V f = 0$$

- two main processes are taking place: hard and soft collisions. To extract the corresponding contributions, we conjugate the Hamiltonian with suitable unitary transformations  $U_{\text{hard}} = e^{\mathcal{B}_{\text{hard}}}$ ,  $U_{\text{soft}} = e^{\mathcal{B}_{\text{soft}}}$ , where

$$\mathcal{B}_{\text{hard}} = \frac{1}{2} \sum_{k \gg \ell_{\text{GP}}} \hat{f}(k) a_k^\dagger a_{-k}^\dagger - h.c.$$

$$\mathcal{B}_{\text{soft}} = \frac{1}{2} \sum_{\substack{k \gg \ell_{\text{GP}} \\ p \lesssim \ell_{\text{GP}}^{-1}}} \hat{f}(k) a_k^\dagger a_{-k+p}^\dagger a_p - h.c.$$

- This is a unitary implementation of the multiplication by a Jastrow type correlation factor  $\prod_{i<j} f(x_i - x_j)$

## Upper Bound

- Trial state with Dirichlet boundary conditions
- To avoid pollution coming from the boundary effects we need boxes of size  $L \gg a/(\rho a^3)$
- Problem:** While the action of the quadratic unitary  $U_{\text{hard}}$  is exactly computable, the action of the cubic  $U_{\text{soft}}$  is not and  $\mathcal{B}_{\text{soft}} \gg 1$  in this regime, forbidding any naive perturbative argument.
- Solution:** We decompose the  $U_{\text{soft}}$  into infinitesimal unitaries

$$U_{\text{soft}} = \prod_k U_{\text{soft}}^{(k)},$$

each parametrized by a low momentum  $k \lesssim \ell_{\text{GP}}^{-1}$ . Generalizing some exclusion principle from [Basti-Cenatiempo-Schlein '21] for the ground state energy, we are able to compute exactly the action of each unitary transformation on an appropriate trial state

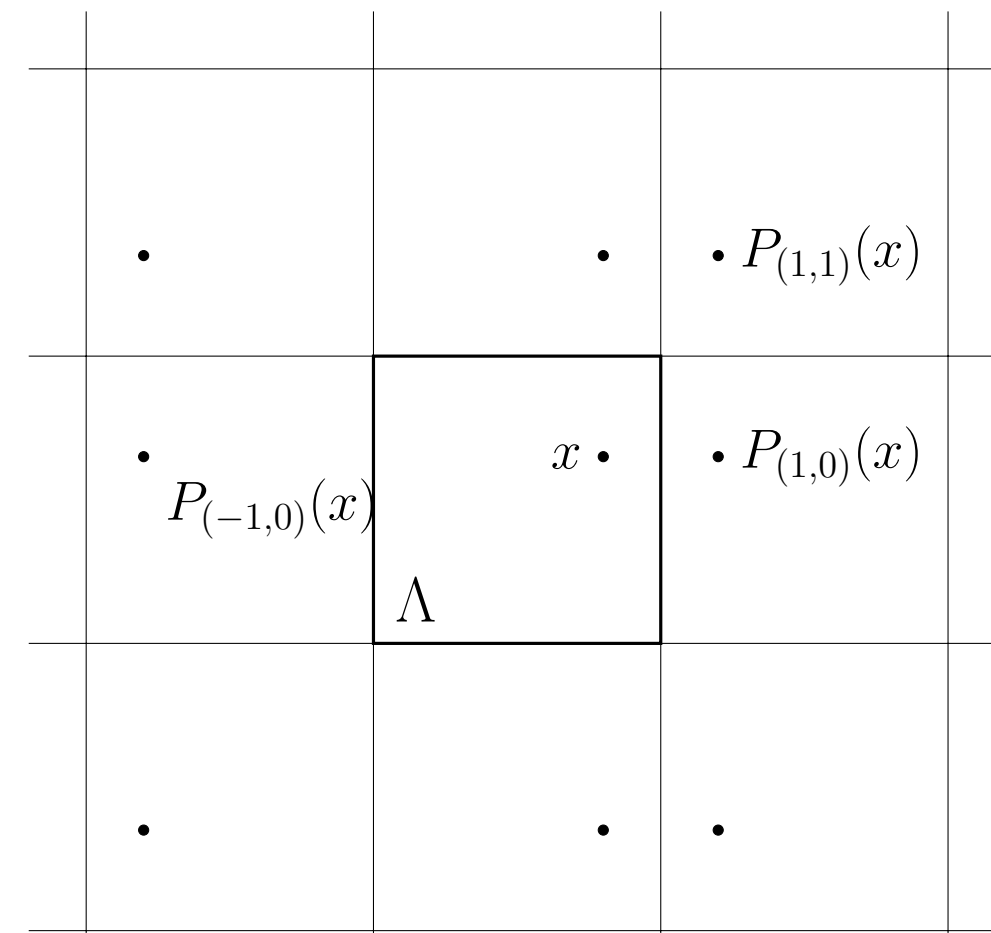
$$U_{\text{soft}}^{(k)} = \cos X_k + \mathcal{B}_{\text{soft},k}^\dagger \frac{\sin X_k}{X_k}$$

with  $X_k = |\mathcal{B}_{\text{soft},k}^\dagger| \ll 1$ .

**Preprint:** Haberberger F., Hainzl C., Schlein B. & Triay A. Upper Bound for the Free Energy of Dilute Bose Gases at Low Temperature [arXiv:2405.03378](#)

## Lower bound

- The subadditivity of the free energy leads to dealing with Neumann boundary conditions.
- Problem:** Solving the scattering equation for the Neumann Laplacian is harder and its solution is not known explicitly [Boccato-Seiringer '23].
- Solution:** Instead we take the scattering solution on the full space, add a spatial cut-off and use a mirroring technique to obtain a kernel in the domain of the Neumann Laplacian. This kernel is also diagonal in the Neumann basis, allowing us to diagonalize exactly the Bogoliubov Hamiltonian.



$$\begin{aligned} \tilde{f}(x, y) &= \sum_z f_{\text{cut}}(P_z(x) - y) \\ &= \sum_p \hat{f}_{\text{cut}}(p) u_p(x) u_p(y) \end{aligned}$$

**Preprint:** Haberberger F., Hainzl C., Nam P.T., Seiringer R. & Triay A. The free energy of dilute Bose gases at low temperatures [arXiv:2304.02405](#)

- For hard-sphere and potentials with large  $L^1$  norm, a first renormalization has to be performed before following Bogliubov's strategy. The idea of [Fournais-Solovej '23] is to rewrite the interaction potential by completing the square

$$\sum_{i<j} V(x_i - x_j) = Q_0^{\text{ren}} + Q_1^{\text{ren}} + Q_2^{\text{ren}} + Q_3^{\text{ren}} + Q_4^{\text{ren}}$$

where only  $Vf$  appears (which has a small  $L^1$  norm), except in  $Q_4^{\text{ren}} \geq 0$  which is thrown away for a lower bound. In a combined effort, we merged the techniques of [Haberberger-Hainzl-Nam-Seiringer-Triay '23] and [Fournais-Solovej '20-'23] to deal with the hard core case.

**Preprint:** Fournais S., Junge L., Girardot T., Morin L., Olivieri M. & Triay A. The free energy of dilute Bose gases at low temperatures interacting via strong potentials [arXiv:2408.14222](#)